

10/17/01
U.S. PTO
**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fed. Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 15]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 4]
 - Newly executed (original or copy)
 - Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies; or
 - ii. paper
 - c. Statements verifying identity of above copies

 Attorney Docket No. 740670-267
 First Inventor Tomoaki YOSHIDA
 Title DISK REPRODUCTION APPARATUS
 Express Mail Label No.

 Assistant Commissioner for Patents
 ADDRESS TO: Box Patent Application
 Washington, DC 20231
10/17/01
U.S. PTO
10/17/01
10/17/01**ACCOMPANYING APPLICATION PARTS**

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Japanese Priority Document
No. 2000-318509 Filed: October 18, 2000
(if foreign priority is claimed)
16. Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	22204 (Insert Customer No. or Attach bar code label here)			or <input checked="" type="checkbox"/> Correspondence address below
Name	Eric J. Robinson			
Address	NIXON PEABODY LLP 8180 Greensboro Drive, Suite 800			
City	McLean	State	VA	Zip Code
Country	United States	Telephone	(703) 790-9110	Fax
Name (Print/Type)	Eric J. Robinson			38,285
Signature				Date October 17, 2001

'FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	740	201 370 Utility filing fee	\$740
106	330	206 165 Design filing fee	
107	510	207 255 Plant filing fee	
108	740	208 370 Reissue filing fee	
114	160	214 80 Provisional filing fee	
SUBTOTAL (1)			\$740.00

2. EXTRA CLAIM FEES

Total Claims	-20** =	Extra Claims	Fee from below	Fee Paid
<input type="text" value="13"/>	-20** =	<input type="text" value="0"/>	<input type="text" value="\$18"/>	<input type="text"/>
Independent Claims	-3** =	<input type="text" value="0"/>	<input type="text" value="\$84"/>	<input type="text"/>
Multiple Dependent		<input type="text" value="280"/>	=	\$280.00

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		
\$280.00		

**or number previously paid, if greater; For Reissues, see above

Complete if Known

Application Number			
Filing Date	October 17, 2001		
First Named Inventor	Tomoaki YOSHIDA		
Examiner Name			
Group Art Unit			
Attorney Docket No.	740670-267		

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge – late filing fee or oath	<input type="text"/>
127	50	227	25 Surcharge – late provisional filing fee or cover sheet	<input type="text"/>
139	130	139	130 Non-English transaction	<input type="text"/>
147	2,520	147	2,520 For filing a request for <i>ex parte</i> reexamination	<input type="text"/>
112	920*	112	920* Requesting publication of SIR prior to Examiner action	<input type="text"/>
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	<input type="text"/>
115	110	215	55 Extension for reply within first month	<input type="text"/>
116	400	200	200 Extension for reply within second month	<input type="text"/>
117	920	460	460 Extension for reply within third month	<input type="text"/>
118	1,440	720	720 Extension for reply within fourth month	<input type="text"/>
128	1,960	228	980 Extension for reply within fifth month	<input type="text"/>
119	320	219	160 Notice of Appeal	<input type="text"/>
120	320	220	160 Filing a brief in support of an appeal	<input type="text"/>
121	280	221	140 Request for oral hearing	<input type="text"/>
138	1,510	138	1,510 Petition to institute a public use proceeding	<input type="text"/>
140	110	240	55 Petition to revive – unavoidable	<input type="text"/>
141	1,280	241	640 Petition to revive – unintentional	<input type="text"/>
142	1,280	242	640 Utility issue fee (or reissue)	<input type="text"/>
143	460	243	230 Design issue fee	<input type="text"/>
144	620	244	310 Plant issue fee	<input type="text"/>
122	130	122	130 Petitions to the Commissioner	<input type="text"/>
123	50	123	50 Processing fee under 37 CR 1.17(q)	<input type="text"/>
126	180	126	180 Submission of Information Disclosure Stmt	<input type="text"/>
581	40	581	40 Recording each patent assignment per property (times number of properties)	<input type="text"/>
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="text"/>
149	740	249	370 For each additional invention to be examined (37 CFR § 1.29(b))	<input type="text"/>
179	740	279	370 Request for Continued Examination (RCE)	<input type="text"/>
169	900	169	900 Request for expedited examination of a design application	<input type="text"/>
Other fee (specify) _____				<input type="text"/>

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285	Telephone	(703) 790-9110
Signature				Date	October 17, 2001